

Knowledge assessment

For Investments in high-risk or complex capital market products
 “Mutual Fund”

Date

NameAccount Number.....

1. Investment Experience in high-risk or complex capital market products.

Do you have investment experience in risky/complex products for 2 consecutive years during the past 10 years?

- Yes, I have invested in
- hedge fund
 - perpetual bond
 - structured notes
 - derivatives
 - Gold Funds/ Oil Funds not track spot
 - Funds investing in derivatives with complex strategic investment or exotic derivatives using VaR approach to calculate investment position.
 - Fund investing in non-investment grade/unrated bonds over 60 percent of its NAV
 - hybrid securities
 - unrated bond
 - Basel III
 - Mutual Fund with complex return
- No, I don't have any investment experience as mentioned above.

2. Working experience

Do you have working experience for 3 consecutive years during the past 10 years in any of the following business?

- Yes, I do. (Please specify)
- Investment product management
 - Selling investment product
 - Lecturer in Investment product course
 - Insurance
 - Financial risk management
 - Investment product development
 - Investment product analyst
 - Accounting
 - Treasury
 - Legal advisory

If you have working experience other than above, please specify below.

Position	Company Name	Working Period	
		From	To

- No, I don't have any working experience as mentioned above.

3. Education

3.1 Have you got any degree(s) or diploma(s) in the following field(s)?

Yes, In the field of

- | | |
|--|--|
| <input type="radio"/> Accounting | <input type="radio"/> Actuarial Science |
| <input type="radio"/> Capital Markets | <input type="radio"/> Commerce |
| <input type="radio"/> Business Administration | <input type="radio"/> Economics |
| <input type="radio"/> Financial Engineering | <input type="radio"/> Financial Planning |
| <input type="radio"/> Computing and Financial Management | |

Name of Institution Year of Graduation.....

No, I don't have any degree as mentioned above.

3.2 Have you received Professional Finance Certifications in any of investment?

Yes, I have got degree(s) in any of followings. (Please specify)

- Chartered Financial Analyst (CFA)
- Certified Investment and Securities Analyst Program (CISA)
- Certified Financial Planner (CFP)
- Financial Risk Manager (FRM)
- Others (Please specify)

No, I don't have any Professional Finance Certification as mentioned above.

The Investment Consultant has informed the product information, Investment risks and information related to investing in products are fully informed to investor.

Investor's signature

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Investment Consultant's signature

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For Investment Consultant

- In case that the investor answered "No" to any question, additional advice, warning, condition and risk of mutual fund must be given to the investor.
- Investors have to sign a risk acknowledgment form "before" investing in high-risk or complex mutual funds. The Investors have to sign to risk acknowledge form the risk for that mutual fund for first-time of investment only.